|  |  |  | 12/19/11   | 22:56:15  | Main Do                               | cument                          | <u>Pg</u>           |  |  |
|--|--|--|--|---|---------------------------------------|---------------------------------|---------------------|--|--|
| United States Bar  |  | 1 of   | 14   |   | Vol                                   | luntary Petitior                | 1                   |  |  |
| Southern District of   |  |  |  |   |                                       |                                 |                     |  |  |
| Name of Debtor (if individual, enter Last, First, Middle): MF Global Market Services LLC                 |  |  |  | Name of Joint Debtor (Spouse) (Last, First, Middle):  |                                       |                                 |                     |  |  |
| All Other Names used by the Debtor in the last 8 years   |  |  |  |   | e Joint Debtor in                     | the last 8 years                |                     |  |  |
| (include married, maiden, and trade names):  ManTrad LLC   |  |  | (include marr  | ied, maiden, a  | nd trade names):                      |                                 |                     |  |  |
| Last four digits of Soc. Sec. or Individual-Taxpayer I.D.  | . (ITIN) No./Comp  | lete EIN                                       | Last four digi   | ts of Soc. Sec.   | or Individual-Ta                      | xpayer I.D. (ITI                | N) No./Complete EIN |  |  |
| (if more than one, state all): 02-0692193  |  |  | (if more than  | one, state all):  |                                       |                                 |                     |  |  |
| Street Address of Debtor (No. and Street, City, and Stat   | te):   |  | Street Address   | s of Joint Deb  | tor (No. and Stree                    | et, City, and Stat              | re):                |  |  |
| 1350 Avenue of the Americas  |  |  |  |   |                                       |                                 |                     |  |  |
| New York, New York   | ZIP CODE 10019   | <u>,                                      </u> |  |   |                                       | ZIP CC                          | NDE                 |  |  |
| County of Residence or of the Principal Place of Busine  |  | <u> </u>                                       | County of Re   | sidence or of t   | he Principal Place                    |                                 | DDE                 |  |  |
| New York County  |  |  |  |   |                                       |                                 |                     |  |  |
| Mailing Address of Debtor (if different from street address ame as street address                        | ress):   |  | Mailing Addr   | ess of Joint Do   | ebtor (if different                   | from street addi                | ress):              |  |  |
|  | ZIP CODE   |  |  |   |                                       |                                 |                     |  |  |
| L C CD LA C CD L DIA CCICO   |  |  | •  |   |                                       | ZIP CC                          | DDE                 |  |  |
| Location of Principal Assets of Business Debtor (if diff   | erent from street ad   | dress above):                                  |  |   |                                       | ZIP CC                          | DDE                 |  |  |
| Type of Debtor   |  | ure of Busines                                 | ss   | C   | hapter of Bankr                       |                                 |                     |  |  |
| (Form of Organization)<br>(Check <b>one</b> box.)  | (Check <b>one</b> box.)  | e Business                                     |  | Chap  |                                       | Filed (Check or<br>Chapter 15 P |                     |  |  |
| Individual (includes Joint Debtors)  | Single Ass   | et Real Estate                                 | as defined in  | Chap  |                                       | Recognition                     | of a Foreign        |  |  |
| See Exhibit D on page 2 of this form   | 11 U.S.C. Railroad   | § 101(51B)                                     |  |   | ter 11                                | Main Proceed Chapter 15 P       | •                   |  |  |
| Corporation (includes LLC and LLP)  Partnership  | Stockbroke   | er   |  |   | ter 12                                | Recognition                     | of a Foreign        |  |  |
| Other (If debtor is not one of the above entities,   | Commodity  |  |  | Chapter 13 Nonmain Proceeding   |                                       |                                 |                     |  |  |
| check this box and state type of entity below.)  | Clearing B   | ank  |  |   |                                       | eck one box.)                   |                     |  |  |
|  |  |  | Debts are primarily consumer, Debts are primarily  |   |                                       |                                 |                     |  |  |
|  |  | -Exempt Enti                                   |  | debts de  | efined in 11 U.S.C                    | շ. — <sub>bւ</sub>              | isiness debts       |  |  |
| (Check box, if applica  Debtor is a tax-exempt or  |  |  |  | individ   | ) as "incurred by all primarily for a | ì                               |                     |  |  |
| under Title 26 of the Uni<br>Code (the Internal Rever  |  |  |  | persona<br>purpose  | l, family, or hous                    | e-hold                          |                     |  |  |
| Filing Fee (Check one bo   |  | internai Reven                                 | lue Code).   | pulpose   | Chapter 11 I                          | Debtors                         |                     |  |  |
| Check one box:   |  |  |  |   |                                       |                                 | C 8 101(51D)        |  |  |
|  |  |  | Debtor is a small business debtor as defined in 11 U.S.C. § 101(51D).  Debtor is not a small business debtor as defined in 11 U.S.C. § 101(51D). |   |                                       |                                 |                     |  |  |
| Filing Fee to be paid in installments (applicable to signed application for the court's consideration co |  | Check if:                                      |  |   |                                       |                                 |                     |  |  |
| unable to pay fee except in installments. Rule 100   | 06(b). See Official I  | Form 3A.                                       | Debtor's aggregate noncontingent liquidated debts (excluding debts owed to   |   |                                       |                                 |                     |  |  |
|  | Filing Fee waiver requested (applicable to chapter 7 individuals only). Must insiders or affiliates) are less than \$ 2,190,000. |  |  |   |                                       |                                 |                     |  |  |
| attach signed application for the court's considera  | tion. See Official F   | orm 3B.  | Check all applicable boxes:  A plan is being filed with this petition.   |   |                                       |                                 |                     |  |  |
|  |  |  |  | Acceptances of the plan were solicited prepetition from one or more classes of creditors, in accordance with 11 U.S.C. § 1126(b). |                                       |                                 |                     |  |  |
| Statistical/Administrative Information   |  |  | of credi   | tors, in accord   | ance with 11 U.S                      | .C. § 1126(b).                  | THIS SPACE IS FOR   |  |  |
| Debtor estimates that funds will be available  |  |  |  |   |                                       |                                 | COURT USE ONLY      |  |  |
| Debtor estimates that, after any exempt prop distribution to unsecured creditors.                        | erty is excluded and   | d administrativ                                | ve expenses paid   | d, there will be  | no funds availab                      | le for                          |                     |  |  |
| Estimated Number of Creditors  |  | _  |  |   | _                                     |                                 |                     |  |  |
| 1-49 50-99 100-199 200-999   | 1,000- 5,00  | <u> </u><br>                                   |  | ∑<br>25,001-  | 50,001-                               | Over                            |                     |  |  |
|  |  |  |  | 0,000   | 100,000                               | 100,000                         |                     |  |  |
| Estimated Assets   |  | Г  | 7 [  | 7   | П                                     | $\boxtimes$                     |                     |  |  |
| \$0 to \$10,001 to \$100,001 to \$500,001  |  |  |  | 5100,000,001  | \$500,000,001                         | More than                       |                     |  |  |
| \$50,000 \$100,000 \$500,000 to \$1 million  | to \$10 to \$<br>million mil   |  |  | o \$500<br>nillion  | to \$1 billion                        | \$1 billion                     |                     |  |  |
| Estimated Liabilities  |  |  |  | ¬   |                                       | $\boxtimes$                     |                     |  |  |
| \$0 to \$10,001 to \$100,001 to \$500,001  |  |  |  | 5100,000,001  | \$500,000,001                         | More than                       |                     |  |  |
| \$50,000 \$100,000 \$500,000 to \$1 million  | to \$10 to \$  |  |  | o \$500<br>nillion  | to \$1 billion                        | \$1 billion                     |                     |  |  |

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| B 1 (Official <b>Form</b> ]  |  | Filed 12/19/11   |  | 12/19/11 22:56:15  | Main Doo          | cument F         | Pg Page 2 |  |  |
|--|--|--|--|--|-------------------|------------------|-----------|--|--|
| Voluntary Petitio  |  | anami agga   | 2 of 1   | Name of Debtor(s):   |                   |                  |           |  |  |
| (This page must be completed and filed in every case.)  MF Global Market Services LLC  All Prior Bankruptcy Cases Filed Within Last 8 Years (If more than two, attach additional sheet.)   |  |  |  |  |                   |                  |           |  |  |
| Location   | 7311 711   | Danki upicy Cases i neu  | vitiliii Last o 1  | Case Number:   | dditional sheet.) | Date Filed:      |           |  |  |
| Where Filed: N/A   | 1  |  |  |  |                   |                  |           |  |  |
| Location Where Filed: N/A  | Λ  |  |  | Case Number:   |                   | Date Filed:      |           |  |  |
| Where I hear I WI  |  | Case Filed by any Spouse,  | Partner, or Affil  | iate of this Debtor (If more tha                                 | n one, attach add | itional sheet.)  |           |  |  |
| Name of Debtor:  |  |  |  | Case Number:   |                   | Date Filed:      |           |  |  |
| See attached S   | chedule 1  |  |  | 11-15059 (MG)  |                   | 10/31/2011       |           |  |  |
| District: Southern Distr   | ict of New York  |  |  | Relationship: Affiliate  |                   | Judge:<br>Glenn  |           |  |  |
|  |  | ibit A   |  |  | Exhibit B         |                  |           |  |  |
| 10Q) with the Sec  | curities and Exchange (  | o file periodic reports (e.g.,<br>Commission pursuant to Sect<br>and is requesting relief under of | (To be completed if debtor is an individual whose debts are primarily consumer debts.)  I, the attorney for the petitioner named in the foregoing petition, declare that I have informed the petitioner that [he or she] may proceed under chapter 7, 11, 12, or 13 of title 11, United States Code, and have explained the relief available under each such chapter. I further certify that I have delivered to the debtor the notice required by 11 U.S.C. § 342(b). |  |                   |                  |           |  |  |
| Exhibit A is   | s attached and made a p  | art of this petition.  |  | Signature of Attorney for  | Debtor(s)         | (Date)           |           |  |  |
|  |  |  |  |  |                   |                  |           |  |  |
| Exhibit C  Does the debtor own or have possession of any property that poses or is alleged to pose a threat of imminent and identifiable harm to public health or safety?  Yes, and Exhibit C is attached and made a part of this petition.  No. |  |  |  |  |                   |                  |           |  |  |
|  |  |  | Exhibit  | t <b>D</b>   |                   |                  |           |  |  |
| (To be comple  | ted by every indivi  | dual debtor. If a joint p  |  | l, each spouse must comp   | lete and attacl   | n a separate Exl | nibit D.) |  |  |
| Exhibi   | t D completed and  | signed by the debtor is  | attached and   | made a part of this petitio                                      | n.                |                  |           |  |  |
| If this is a joint petition:   |  |  |  |  |                   |                  |           |  |  |
| Exhibi   | t D also completed   | and signed by the join   | debtor is atta   | ched and made a part of t  | his petition.     |                  |           |  |  |
| Information Regarding the Debtor - Venue   |  |  |  |  |                   |                  |           |  |  |
|  | (Check any applicable box.)  |  |  |  |                   |                  |           |  |  |
|  | There is a bankruptcy  | case concerning debtor's affi  | liate, general part  | ner, or partnership pending in th                                | nis District.     |                  |           |  |  |
|  | Debtor is a debtor in a foreign proceeding and has its principal place of business or principal assets in the United States in this District, or has no principal place of business or assets in the United States but is a defendant in an action or proceeding [in a federal or state court] in this District, or the interests of the parties will be served in regard to the relief sought in this District. |  |  |  |                   |                  |           |  |  |
| Certification by a Debtor Who Resides as a Tenant of Residential Property  (Check all applicable boxes.)   |  |  |  |  |                   |                  |           |  |  |
|  | Landlord has a judgment against the debtor for possession of debtor's residence. (If box checked, complete the following.)   |  |  |  |                   |                  |           |  |  |
| (Name of landlord that obtained judgment)  |  |  |  |  |                   |                  |           |  |  |
|  | (Address of landlord)  |  |  |  |                   |                  |           |  |  |
|  |  |  |  | circumstances under which the ion, after the judgment for posses |                   |                  | he        |  |  |
|  | Debtor has included with this petition the deposit with the court of any rent that would become due during the 30-day period after the filing of the petition.   |  |  |  |                   |                  |           |  |  |
|  | Debtor certifies that he/she has served the Landlord with this certification. (11 U.S.C. § 362(1)).  |  |  |  |                   |                  |           |  |  |

Doc 1 Filed 12/19/11 Entered 12/19/11 22:56:15 Main Document 11-15809 Pg B 1 (Official Form) 1 (1/08) 3 of 14 Name of Debtor(s): Voluntary Petition (This page must be completed and filed in every case.) MF Global Market Services LLC Signatures Signature(s) of Debtor(s) (Individual/Joint) Signature of a Foreign Representative I declare under penalty of perjury that the information provided in this petition is true I declare under penalty of perjury that the information provided in this petition is true and correct, that I am the foreign representative of a debtor in a foreign proceeding, and that I am authorized to file this petition. [If petitioner is an individual whose debts are primarily consumer debts and has chosen to file under chapter 7] I am aware that I may proceed under chapter 7, 11, 12 (Check only **one** box.) or 13 of title 11, United States Code, understand the relief available under each such chapter, and choose to proceed under chapter 7. I request relief in accordance with chapter 15 of title 11, United States Code. [If no attorney represents me and no bankruptcy petition preparer signs the petition] I Certified copies of the documents required by 11 U.S.C. § 1515 are attached. have obtained and read the notice required by 11 U.S.C. § 342(b). Pursuant to 11 U.S.C. § 1511, I request relief in accordance with the I request relief in accordance with the chapter of title 11, United States Code, chapter of title 11 specified in this petition. A certified copy of the specified in this petition. order granting recognition of the foreign main proceeding is attached. X Signature of Debtor (Signature of Foreign Representative) X Signature of Joint Debtor (Printed Name of Foreign Representative) Telephone Number (if not represented by attorney) Date Date Signature of Attorney\* Signature of Non-Attorney Bankruptcy Petition Preparer /s/ Brett H. Miller /s/ Lorenzo Marinuzzi I declare under penalty of perjury that: (1) I am a bankruptcy petition preparer as Signature of Attorney for Debtor(s) Brett H. Miller and Lorenzo Marinuzzi Printed Name of Attorney for Debtor(s) Morrison & Foerster LLP Firm Name 1290 Avenue of the Americas attached. Address New York, New York 10104 Printed Name and title, if any, of Bankruptcy Petition Preparer (212) 468-8000 Social-Security number (If the bankruptcy petition preparer is not an individual, Telephone Number state the Social-Security number of the officer, principal, responsible person or 12/19/2011 partner of the bankruptcy petition preparer.) (Required by 11 U.S.C. § 110.) Date \*In a case in which § 707(b)(4)(D) applies, this signature also constitutes a Address certification that the attorney has no knowledge after an inquiry that the information in the schedules is incorrect. Signature of Debtor (Corporation/Partnership) I declare under penalty of perjury that the information provided in this petition is true and correct, and that I have been authorized to file this petition on behalf of the The debtor requests the relief in accordance with the chapter of title 11, United States

Code, specified in this petition.

/s/ Laurie R. Ferber Signature of Authorized Individual Laurie R. Ferber Printed Name of Authorized Individual Authorized Signatory Title of Authorized Individual 12/19/2011

defined in 11 U.S.C. § 110; (2) I prepared this document for compensation and have provided the debtor with a copy of this document and the notices and information required under 11 U.S.C. §§ 110(b), 110(h), and 342(b); and, (3) if rules or guidelines have been promulgated pursuant to 11 U.S.C. § 110(h) setting a maximum fee for services chargeable by bankruptcy petition preparers, I have given the debtor notice of the maximum amount before preparing any document for filing for a debtor or accepting any fee from the debtor, as required in that section. Official Form 19 is

Signature of bankruptcy petition preparer or officer, principal, responsible person, or partner whose Social-Security number is provided above.

Names and Social-Security numbers of all other individuals who prepared or assisted in preparing this document unless the bankruptcy petition preparer is not an individual.

If more than one person prepared this document, attach additional sheets conforming to the appropriate official form for each person.

A bankruptcy petition preparer's failure to comply with the provisions of title 11 and the Federal Rules of Bankruptcy Procedure may result in fines or imprisonment or both. 11 U.S.C. § 110; 18 U.S.C. § 156.

Date

# SCHEDULE 1- AFFILIATED DEBTORS

The following list identifies all of the affiliated entities, including the Debtor filing this petition (collectively, the "Debtors"), that have filed voluntary petitions for relief under title 11 of the United States Code, 11 U.S.C. §§ 101-1330, as amended (the "Bankruptcy Code"), in the United States Bankruptcy Court for the Southern District of New York substantially contemporaneously with the filing of this petition.

- 1. MF Global Capital LLC
- 2. MF Global Market Services LLC
- 3. MF Global FX Clear LLC

In addition, on October 31, 2011, two additional affiliated entities, MF Global Holdings Ltd. and MF Global Finance USA Inc., filed petitions for reorganization pursuant to chapter 11 of the Bankruptcy Code. On November 2, 2011, the Court entered an order authorizing the joint administration of these two separate chapter 11 cases (case numbers 11-15058 and 11-15059) for procedural purposes only under case number 11-15059. Substantially contemporaneous with the filing of the petitions, the Debtors will file a motion seeking to have their cases jointly administered with the chapter 11 cases of MF Global Holdings Ltd. and MF Global Finance USA Inc.

# LIST OF UNSECURED CREDITORS HOLDING THE TWENTY LARGEST UNSECURED CLAIMS

Following is a list of unsecured creditors holding the 20 largest unsecured claims against MF Global Market Services LLC (the "Debtor"), as of approximately December 19, 2011 as prepared and relayed to me by various employees and professionals working for the Debtor and its affiliates. Related entities may be listed in a consolidated basis on this chart. In setting forth the approximate amount of each claim, the Debtor may have used estimates for market values for securities and currencies and related company offsets. Certain financial instruments are illiquid and difficult to price, therefore these cannot be valued with accuracy, and values listed herein may vary substantially from fair value.

The Debtor has not yet identified which of the 20 largest unsecured creditors, if any, are contingent, unliquidated, disputed and/or subject to setoff. The Debtor reserves all rights with respect to the creditors listed on this schedule, including the right to identify any of them as contingent, unliquidated, disputed and/or subject to setoff, as appropriate. The amounts are based on the Debtor's records at the time this schedule was filed. The Debtor may continue to reconcile the amounts on this schedule, and accordingly, neither the Debtor nor its professionals can guaranty that such numbers are accurate at this time. The information presented in this list shall not constitute an admission by, nor is it binding on, the Debtor.

The list is prepared in accordance with Fed. R. Bankr. P. 1007(d) for filing in this chapter 11 case. The list does not include (1) persons who come within the definition of "insider" set forth in 11 U.S.C. § 101 or (2) secured creditors unless the value of the collateral is such that the unsecured deficiency places the creditor among the holders of the 20 largest unsecured claims.

MF Global Market Services LLC Top 20 Unsecured Creditors Data - Matrix In USD

| No. | Creditor   | Creditor Contact   | Entity                        | Des cription                      | Contingent | Unliquidated | Disputed | Amount                      |
|-----|--|--|-------------------------------|-----------------------------------|------------|--------------|----------|-----------------------------|
| 1   | The Service master Company<br>960 Ridgelake Boulevard, A3 0018<br>Memphis, TN 38120  | Phone: (901) 597-8847<br>Fax: (901) 597-8821<br>Email: marty.ketelaar@servicemaster. | MF Global Market Services LLC | ISDA Counterparty                 | С          | U            |          | 1,410,508.49<br>(Estimated) |
| 2   | Maxum Petroleum Operating Co.<br>20 Horseneck Lane<br>Greenwich, CT 06830  | Phone: (203) 861-1247<br>Fax: (203) 661-1865<br>Email: trading@maxumpetroleum.com    | MF Global Market Services LLC | ISDA Counterparty                 | C          | U            |          | 794,523.75<br>(Estimated)   |
| 3   | J E Meuret Grain Co, Inc.<br>101 Franklin Street<br>Brunswick, NE 68720  | Phone: (402) 842-2515<br>Fax: (402) 842-3115<br>Email: jemeuretgrain@jemeuretgrain.  | MF Global Market Services LLC | ISDA Counterparty                 | С          | U            |          | 528,848.00<br>(Estimated)   |
| 4   | Arch Coal, Inc.<br>One City Place Drive, Suite 300<br>St Louis, MO 63141   | Phone: (314) 994-2785<br>Fax: (314) 994-2739<br>Email: jflorczak@archcoal.com        | MF Global Market Services LLC | ISDA Counterparty                 | С          | U            |          | 465,000.00<br>(Estimated)   |
| 5   | Broadgrain Commodities, Inc.<br>133 Richmond Street, West Site 408<br>Toronto, Ontario M5H 2L3 Canada  | Phone: (416) 504-0070<br>Fax:<br>Email: david.hanna@broadgrain.com                   | MF Global Market Services LLC | Client Payable                    | С          | U            |          | 350,000.00<br>(Estimated)   |
| 6   | Cook Illinois Corp.<br>4845 W 167 Street<br>Oak Forest, IL 60452   | Phone: (708) 560-9840<br>Fax: (708) 560-0661<br>Email: CCrockett@cookillinois.com    | MF Global Market Services LLC | ISDA Counterparty                 | С          | U            |          | 221,383.83<br>(Estimated)   |
| 7   | Southwest Georgia Oil Co, Inc.<br>1711 East Shotwell Street<br>Bainbridge, GA 39819  | Phone: (229) 246-1553 x 166<br>Fax: (229) 246-2009<br>Email: kial@inland-stores.com  | MF Global Market Services LLC | ISDA Counterparty                 | C          | U            |          | 213,906.00<br>(Estimated)   |
| 8   | Columbia Trading SA Av. Nossa Senhora dos Navegantes, 451 - 17 andar - Salas 1710 e 1711 Ed. Pedro Tower Business - Enseada do Sua Wtoria, CEP 29050-335 Spara | Phone: +55-11-3330-6733<br>Fax:<br>Email: aromano@columbia.com.br                    | MF Global Market Services LLC | ISDA Counterparty                 | С          | U            |          | 142,701.14<br>(Estimated)   |
| 9   | Weisul Agricola Ltda<br>Ave. Marcos Konder<br>Edificio Embraed Centro Empresarial<br>CEP: 88301-301 Hajais-SC  | Phone: 47-3241-9000<br>Fax:<br>Email:  | MF Global Market Services LLC | ISDA Counterparty                 | С          | U            |          | 62,789.00<br>(Estimated)    |
| 10  | Siemer Milling Company<br>111 West Main<br>Teutopolis, IL 62467  | Phone: (217)-857-2247<br>Fax:<br>Email: CSchwinke@siemermilling.con                  | MF Global Market Services LLC | ISDA Counterparty                 | С          | U            |          | 46,809.89<br>(Estimated)    |
| 11  | Bellisio Foods Inc<br>1201 Harmon Place<br>Suite 302<br>Minneapolis, MN 55403  | Phone: (612) 337-8427<br>Fax:<br>Email: dbucsko@bellisiofoods.com                    | MF Global Market Services LLC | ISDA Counterparty                 | С          | V            |          | 29,572.93<br>(Estimated)    |
| 12  | Port Consolidated Inc.<br>3141 SE 14 Avenue<br>Fort Lauderdale, FL 33316   | Phone: (954) 522-1182<br>Fax:<br>Email:  | MF Global Market Services LLC | Derivative Transaction Facility A | С          | U            |          | 28,487.89<br>(Estimated)    |
| 13  | Producers Livestock Marketing<br>4280 Sergeant Road, Suite 240<br>Sioux City, IA 51106   | Phone: (712) 274-0539<br>Fax: (712) 274-0741<br>Email: plmajn@cableone.net           | MF Global Market Services LLC | ISDA Counterparty                 | С          | U            |          | 26,016.00<br>(Estimated)    |
| 14  | Us Bio Energy Service  | Phone:<br>Fax<br>Email:  | MF Global Market Services LLC | ISDA Counterparty                 | С          | U            |          | 18,848.00<br>(Estimated)    |
| 15  | Rmi<br>141 W Jackson Blvd<br>Suite 1521<br>Chicago, IL 60604   | Phone:<br>Fax:<br>Email:   | MF Global Market Services LLC | Trade Payable                     | С          | Ų            |          | 14,841.40<br>(Estimated)    |
| 16  | Central Valley Ag Cooperative<br>205 Oak Street<br>Humphrey, NE 68642  | Phone: (402) 923-1455<br>Fax:<br>Email:  | MF Global Market Services LLC | ISDA Counterparty                 | С          | U            |          | 12,052.97<br>(Estimated)    |

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MF Global Market Services LLC Top 20 Unsecured Creditors Data - Matrix In USD

| <u>No</u> | o. Creditor  | Creditor Contact  | Entity                        | Des cription                | Contingent | Unliquidated | Disputed | Amount                   |
|-----------|--|---|-------------------------------|-----------------------------|------------|--------------|----------|--------------------------|
| 17        | East River Energy, Inc.<br>401 Soundview Road<br>Guilford, CT 06437-0388 | Phone: (516) 679-2000<br>Fax: (203) 453-3899<br>Email:                          | MF Global Market Services LLC | ISDA Counterparty           | С          | U            |          | 11,117.61<br>(Estimated) |
| 18        | Industrializadora Oleofinos  | Phone:<br>Fax:<br>Email:  | MF Global Market Services LLC | ISDA Counterparty           | O          | 0            |          | 10,272.00<br>(Estimated) |
| 19        | E Energy Adams LLC<br>13238 East Aspen Road<br>Adams, NE 68301           | Phone: (402) 988-4655<br>Fax: (402) 988-5205<br>Email: contact@eenergyadams.com | MF Global Market Services LLC | Customer Account Obligation | O          | C            |          | 6,950.00<br>(Estimated)  |
| 20        | Inergy   | Phone:<br>Fax:<br>Email:  | MF Global Market Services LLC | Client Payable              | С          | U            |          | 5,868.33<br>(Estimated)  |

Pg

I, Laurie R. Ferber, General Counsel of MF Global Holdings Ltd., and Executive Vice President of MF Global Market Services LLC declare under penalty of perjury that I have read the foregoing list and it is true and correct to the best of my knowledge, information, and belief.

Dated: December 19, 2011

By: /s/Laurie R. Ferber
Laurie R. Ferber
Executive Vice President

### **ACTION BY WRITTEN CONSENT**

**OF** 

#### THE SOLE MEMBER

**OF** 

## MF GLOBAL MARKET SERVICES LLC

THE UNDERSIGNED, being the sole member (the "Sole Member") of MF Global Market Services LLC, a New York limited liability company (the "Company"), acting pursuant to Section 407 of the New York Limited Liability Company Law (the "NYLLCL") and Section 6 of the Amended and Restated Operating Agreement of the Company (the "Operating Agreement"), hereby adopt, by this written consent, the following resolutions with the same force and effect as if they had been unanimously adopted at a duly convened meeting of the members and direct that this written consent be filed with the minutes of the proceedings of the members of the Company:

WHEREAS, MF Global Holdings Ltd., the parent of the Sole Member ("<u>Holdings</u>"), has filed a petition under chapter 11 of title 11 of the United States Code (the "<u>Bankruptcy Code</u>") and a trustee (the "<u>Trustee</u>") has been put in place at Holdings;

WHEREAS, the Board of Directors of the Sole Member (the "Board") has been in contact with professional advisors to the Trustee concerning the bankruptcy of Holdings and the need for the filing of petitions by other affiliated entities;

WHEREAS, the Sole Member has been presented with a proposed petition to be filed by the Company in the United States Bankruptcy Court for the Southern District of New York (the "Bankruptcy Court") seeking relief under the provisions of chapter 11 of the Bankruptcy Code;

WHEREAS, the Sole Member has determined that it is in the best interests of the Company and its stakeholders that the Company file a petition seeking relief under the provisions of chapter 11 of the Bankruptcy Code.

### NOW THEREFORE, BE IT

RESOLVED, that the Company file a petition seeking relief under the provisions of chapter 11 of the Bankruptcy Code, and the filing of such petition is authorized hereby; and it is further

RESOLVED, that the president, chief financial officer, or any vice president, secretary, treasurer, assistant secretary and assistant treasurer of the Company be appointed by the Sole Member as an authorized signatory (each, individually, an

"<u>Authorized Officer</u>," and, collectively, the "<u>Authorized Officers</u>") of the Company in connection with the chapter 11 case authorized herein; and it is further

RESOLVED, that the Authorized Officers of the Company be, and each of them, with full authority to act without the others, hereby is, authorized, empowered and directed, in the name and on behalf of the Company, to execute and verify a petition in the name of the Company under chapter 11 of the Bankruptcy Code and to cause the same to be filed in the Bankruptcy Court in such form and at such time as the Authorized Officer executing said petition on behalf of the Company shall determine, and it is further

RESOLVED, that the Authorized Officers of the Company be, and each of them, with full authority to act without the others, hereby is, authorized, empowered and directed in the name and on behalf of the Company, to execute and file or cause to be executed and filed (or to direct others to do so on their behalf as provided herein) all necessary documents, including, without limitation, all petitions, affidavits, schedules, motions, lists, applications, pleadings and other papers, and in that connection to employ and retain all assistance by legal counsel, accountants or other professionals and to take any and all other action which they or any one of them deem necessary, proper or desirable in connection with the chapter 11 case contemplated hereby, with a view to the successful prosecution of such case; and it is further

RESOLVED, that the Authorized Officers of the Company be, and each of them, with full authority to act without the others, hereby is, authorized, empowered and directed, in the name and on behalf of the Company, to take any and all such actions, and to execute and deliver or cause to be executed and delivered under seal of the Company or otherwise, such agreements or instruments and any and all other documents and amendments necessary or appropriate to facilitate the transactions contemplated by the foregoing resolutions, containing such provisions, terms, conditions, covenants, warranties and representations as may be deemed necessary or appropriate by the Authorized Officer or Authorized Officers so acting, and to incur and pay or cause to be paid all such fees and expenses as in their judgment shall be necessary, appropriate or advisable to effectuate the purpose and intent of any and all of the foregoing resolutions; and it is further

RESOLVED, that all actions previously taken by any Authorized Officer or director of the Company in connection with the transactions contemplated by the foregoing resolutions, including seeking relief under chapter 11 of the Bankruptcy Code or in connection with the chapter 11 case, be, and they hereby are, adopted, ratified, confirmed, and approved in all respects as the acts and deeds of the Company; and it is further

RESOLVED, that this Action By Written Consent may be executed in any number of counterparts, and in separate counterparts, and by facsimile, each of which shall be an original document and all of which together shall constitute a single consent, and that a signature page from any such counterpart may be attached to any other such counterpart without affecting the validity of any such counterpart.

IN WITNESS WHEREOF, the undersigned, being the sole member of MF Global Market Services LLC, has executed this Action By Written Consent as of the 19th day of December, 2011.

MF GLOBAL HOLDINGS USA INC.

By:

Name: Laurie R. Ferber

Title: Executive Vice President

## **SOLE MEMBER CONSENT**

The undersigned, Darya Geetter, Secretary of MF Global Holdings USA Inc., the sole member (the "Sole Member") of MF Global Market Services LLC (the "Company"), a New York limited liability company, hereby certifies on behalf of the Company, as the Secretary of the Sole Member, and not in any individual capacity, as follows:

- 1. I am the duly qualified and elected Secretary of the Sole Member and, as such, am familiar with the facts herein certified, and I am duly authorized to certify same on behalf of the Company.
- 2. Each of the following persons is a duly elected, qualified and acting Director of the Sole Member:

| Director | Bradley Abelow |
|----------|----------------|
| Director |                |
| Director |                |

- 3. Attached hereto is a true and complete copy of the resolutions of the Sole Member of the Company.
- 4. Such resolutions have not been amended, altered, annulled, rescinded or revoked in any manner and are in full force and effect as of the date hereof.

IN WITNESS WHEREOF, the undersigned has executed this certificate as of the 19th day of December, 2011.

Darya Geette

| SOUTHERN DISTRICT OF NEW YORI  | K           |                                |
|--------------------------------|-------------|--------------------------------|
|                                | x           |                                |
| In re                          | :           | Chapter 11                     |
| MF GLOBAL MARKET SERVICES LLC, | :           | Case No. 11 -                  |
| Debtor.                        | :<br>:<br>: | Joint Administration Requested |

UNITED STATES BANKRUPTCY COURT

# **CORPORATE OWNERSHIP STATEMENT**

In accordance with rule 1007(a)(1) of the Federal Rules of Bankruptcy Procedure and Local Bankruptcy Rule 1007-3, MF Global Market Services LLC (the "Company") hereby states that the following entities directly or indirectly own 10% or more of any class of the Company's equity interests:

- MF Global Holdings Ltd.
- MF Global Holdings USA Inc.

The Company does not own (directly or indirectly) 10% or more of any class of a corporation's publicly traded equity interests. The Company does not own an interest in any general partnership. The Company does not own an interest in any limited liability partnership. The Company does not own an interest in any joint venture.

I, the undersigned authorized officer of the Company named as the debtor in this chapter 11 case, declare under penalty of perjury that I have reviewed the foregoing and that it is true and correct to the best of my knowledge, information and belief, with reliance on appropriate corporate officers.

Dated: New York, New York December 19, 2011

Laurie R. Ferber
Authorized Signatory